

09/646796 #3

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/646,796
	Filing Date	Sep. 22, 2000
	First Named Inventor	Korala
	Group Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	8	Attorney Docket Number 2000-011

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Small Entity Statement <input checked="" type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below)
Remarks <hr/>		

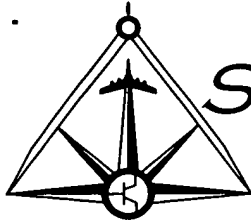
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Shalom Wertsberger, Reg. No. 43,359		
Signature	<i>Shalom W.</i>		
Date	Nov. 21, 2000		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:			
Typed or printed Name	Shalom Wertsberger,		
Signature	<i>Shalom W.</i>	Date	Nov. 21, 2000

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# SALTAMAR INNOVATIONS

527 Rec. CT/PTO 21 NOV 2000 #3

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## *In the United States Patent and Trademark Office*

In re application of: <b>Korala</b>	
For: <b>Apparatus and Method for Providing Transaction Services</b>	
Serial No.: <b>09/646,796</b>	Group:
Filed on: <b>Sept. 22, 2000</b>	Examiner:
Correspondence Date <b>Nov. 21, 2000</b>	Docket: <b>2000-011</b>

### Response to notification of missing parts

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Responsive to notification of missing requirements under 35 U.S.C. 371, mailed to applicant on Nov. 2, 2000, enclosed is an inventors declaration in accordance with 37 CFR 1.63 and statements establishing small entity status for Mr. Korala, the inventor as well as for Korala Associates Limited, the assignee of 100% undivided interest in the application. Assignment documents are forwarded to the USPTO herewith.

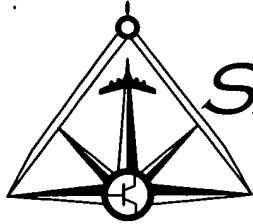
The commissioner is requested and authorized to charge the assignment fee of \$40.00 and the surcharge according to 37 CFR § 1.492(e) of \$65.00, and any additional fees due in the application, to my Deposit Account No. 501392.

Respectfully Submitted

Shalom Wertsberger, Agent for Applicant.

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### Request for Refund under 37 CFR 1.28

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The above application was filed with fees appropriate for a large entity.

Applicant is filing herewith a statement establishing entitlement to a small entity status. Since the Applicant established the status within two months of the date of payment, as required by rule 1.28, applicant requests that the commissioner will issue a refund of any difference between any amount previously paid and the amount applicant is entitled to pay as a small entity.

Applicant's agent requests that the commissioner issue a refund check made payable to Saltamar Innovations.

Please mail refund to:

Shalom Wertsberger  
Saltamar Innovations  
30 Fern Lane  
South Portland, ME 04106

If there are any questions regarding the material in this paper, please call Mr. Shalom Wertsberger, at (207) 799-9733.

Respectfully Submitted

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